

UTILITY
PATENT APPLICATION
TRANSMITTAL

for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

5988-038-27

First Inventor or Application Identifier

TOMOKI NAGAI

Title

NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY AMPLIFIED
RADIATION-SENSITIVE RESIN COMPOSITION

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

☐ Applicant claims small entity status.

2. ☒ Specification Total Pages **91**

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **3**

4. ☐ Oath or Declaration Total Pages **1**

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)

- ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 4B, is considered to be part
of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☒ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

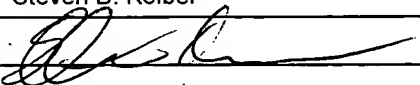
☒ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

☐ This application claims priority of provisional application Serial No.

Filed

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	3/5/02
Name		Registration No.	
		Telephone	202-861-3900

FEE TRANSMITTAL

Docket No. 5988-038-27
 Serial No. NEW APPLICATION
 Filing Date HEREWITH
 Inventor(s) TOMOKI NAGAI, ET AL.
 Group Art Unit UNASSIGNED
 Examiner UNASSIGNED

10/08/05
 03/05/02

TOTAL AMOUNT OF PAYMENT \$870.00

1. ☐ Applicant claims small entity status.

☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity Fee Description

2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description		139	130	139	130	Non-English specification	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	147	2520	147	2520	Ex parte reexam. fee	
101	740	201	370	Utility filing fee	740.00	115	110	215	55	1-mo. ext. of time	
106	330	206	165	Design filing fee		116	400	216	200	2-mo. ext. of time	
107	510	207	255	Plant filing fee		117	920	217	460	3-mo. ext. of time	
108	740	208	370	Reissue filing fee		118	1440	218	720	4-mo. ext. of time	
114	160	214	80	Provisional filing fee		128	1960	228	980	5-mo. ext. of time	
SUBTOTAL (1)					\$740.00	119	320	219	160	Notice of Appeal	

2. EXTRA CLAIM FEES

tot. claims	18	-	20*	=	0	x	\$18	=	0	121	280	221	140	Request for Oral Hearing	
ind. claims	3	-	3*	=	0	x	\$84	=	0	142	1280	242	640	Utility/Reissue Issue Fee	
<input type="checkbox"/>	Multiple Dependent Claims						\$280	=		143	460	243	230	Design Issue Fee	
Large Entity		Small Entity		Fee Description						144	620	244	310	Plant Issue Fee	
Fee Code	Fee (\$)	Fee Code	Fee (\$)							122	130	122	130	Petitions to the Commissioner	
103	18	203	9	Claims in excess of 20						126	180	126	180	IDS Submission	
102	84	202	42	Independent claims in excess of 3						581	40	581	40	Assignment	
104	280	204	140	Multiple dependent claim, if not paid						179	740	279	370	For Filing RCE	
109	84	209	42	*Reissue independent claims over original patent						169	900	169	900	Expedited Design	
110	18	210	9	*Reissue claims in excess of 20 and over original patent						OTHER (indicate below):					

SUBTOTAL (2) \$0.00

* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) \$130.00

Name Steven B. Kelber

Signature

[Signature]

Name

Registration No. 30,073

Date

3/5/02

Telephone

202-861-3900

Registration No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: TOMOKI NAGAI, ET AL.

SERIAL NO: NEW APPLICATION

FILED: HEREWITH

FOR: NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY AMPLIFIED RADIATION-SENSITIVE
RESIN COMPOSITION



REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number [US App No], filed [US App Dt], is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	61922/2001	MARCH 6, 2001

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☒ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
 - ☐ are submitted herewith
 - ☐ will be submitted prior to payment of the Final Fee

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

Steven B. Kelber

Registration No.: 30,073

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DOCKET NO. 5988-038-27

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IN RE APPLICATION OF: TOMOKI NAGAI, ET AL.

SERIAL NO.: NEW APPLICATION

FILING DATE: HEREWITH

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RADIATION-SENSITIVE RESIN COMPOSITION

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

1. Tomoki NAGAI
303, 12-26, 1, Shirasuka,
Yokkaichi, Mie 510-0018, Japan
2. Jun NUMATA
14-303, 1, Morigayama-cho,
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3. Shirou KUSUMOTO
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4. Eiichi KOBAYASHI
14-301, 1, Morigayama-cho,
Yokkaichi, Mie 510-0957, Japan

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



Steven B. Kelber
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Attorney of Record

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